

Receipt date: 06/23/2010

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

10812837 - GAI: 3774

PFO/05/054 (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837
	Filing Date		2004-03-30
	First Named Inventor	David G. Malone	
	Art Unit	3738	
	Examiner Name	A. J. Stewart	
	Attorney Docket Number	101896-1089 (DEP6244USNP)	

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4820305		1989-04-11	Harms et al.	
	2	4961740		1990-10-09	Ray et al.	
	3	5123705		1992-06-23	Johnson	
	4	5489308		1996-02-06	Kuslich et al.	
	5	5534031		1996-07-09	Matsuzaki et al.	
	6	5593409		1997-01-14	Michelson	
	7	5609636		1997-03-11	Kohrs et al.	
	8	5669909		1997-09-23	Zdeblick et al.	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit		3738	
	Examiner Name	A. J. Stewart		
	Attorney Docket Number		101896-1089 (DEP6244USNP)	

	9	5683463		1997-11-04	Godefroy et al.	
	10	5709683		1998-01-20	Bagby	
	11	5766253		1998-06-16	Brosnahan, III	
	12	5776196		1998-07-07	Matsuzaki et al.	
	13	5782919		1998-07-21	Zdeblick et al.	
	14	5785710		1998-07-28	Michelson	
	15	5860973		1999-01-19	Michelson	
	16	5885287		1999-03-23	Bagby	
	17	5984967		1999-11-16	Zdeblick et al.	
	18	6066175		2000-05-23	Henderson et al.	
	19	6071310		2000-06-06	Picha et al.	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit		3738	
	Examiner Name	A. J. Stewart		
	Attorney Docket Number		101896-1089 (DEP6244USNP)	

	20	6074423		2000-06-13	Lawson	
	21	6093207		2000-07-25	Pisharodi	
	22	6102948		2000-08-15	Brosnahan, III	
	23	6120506		2000-09-19	Kohrs et al.	
	24	6149686		2000-11-21	Kuslich et al.	
	25	6165219		2000-12-26	Kohrs et al.	
	26	6168631		2001-01-02	Maxwell et al.	
	27	6241770		2001-06-05	Michelson	
	28	6264656		2001-07-24	Michelson	
	29	6287343		2001-09-11	Kuslich et al.	
	30	6346122		2002-02-12	Picha et al.	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit		3738	
	Examiner Name	A. J. Stewart		
	Attorney Docket Number		101896-1089 (DEP6244USNP)	

	31	6375655		2002-04-23	Zdeblick et al.	
	32	6391058		2002-05-21	Kuslich et al.	
	33	6419704		2002-07-16	Ferree	
	34	6428575		2002-08-06	Koo et al.	
	35	6471724		2002-10-29	Zdeblick et al.	
	36	6527805		2003-03-04	Studer et al.	
	37	6558423		2003-05-06	Michelson	
	38	6645206		2003-11-11	Zdeblick et al.	
	39	6726722		2004-04-27	Walkenhorst et al.	
	40	6758849		2004-07-06	Michelson	
	41	6783545		2004-08-31	Castro et al.	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit	3738		
	Examiner Name	A. J. Stewart		
	Attorney Docket Number	101896-1089 (DEP6244USNP)		

	42	7182781		2007-02-27	Bianchi et al.	
	43	7288093		2007-10-30	Michelson	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010010020		2001-07-26	Michelson	
	2	20020040242		2002-04-04	Picha et al.	
	3	20020116064		2002-08-22	Middleton	
	4	20020138144		2002-09-26	Michelson	
	5	20030009222		2003-01-09	Fruh et al.	
	6	20030114854		2003-06-19	Pavlov et al.	
	7	20030114930		2003-06-19	Lim et al.	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit		3738	
	Examiner Name	A. J. Stewart		
	Attorney Docket Number		101896-1089 (DEP6244USNP)	

	8	20030139816		2003-07-24	Michelson	
	9	20040064185		2004-04-01	Michelson	
	10	20040097928		2004-05-20	Zdeblick et al.	
	11	20040111093		2004-06-10	Chappuis	
	12	20040133277		2004-07-08	Michelson	
	13	20040172019		2004-09-02	Ferree	
	14	20050038513		2005-02-17	Michelson	
	15	20060064099		2006-03-23	Pavlov et al.	
	16	20060085068		2006-04-20	Barry	
	17	20060111779		2006-05-25	Petersen	
	18	20060111782		2006-05-25	Petersen	

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit	3738		
	Examiner Name	A. J. Stewart		
	Attorney Docket Number	101896-1089 (DEP6244USNP)		

	19	20070083265		2007-04-12	Malone	
	20	20070173954		2007-07-26	Lavi	
	21	20080154374		2008-06-26	LABROM	

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	1114625	EP	A1	2001-07-11	Sulzer Orthopedics Ltd		<input type="checkbox"/>
	2	1400221	EP	A2	2004-03-24	Michelson Gary K		<input type="checkbox"/>
	3	369603	EP	A1	1990-05-23	Cedar Surgical Inc		<input type="checkbox"/>
	4	9106261	WO	A1	1991-05-16	Surgical Dynamics Inc		<input type="checkbox"/>
	5	9627339	WO	A1	1996-09-12	Advanced Microbotics Corp		<input type="checkbox"/>
	6	9640020	WO	A1	1996-12-19	Michelson Gary K		<input type="checkbox"/>

Receipt date: 06/23/2010 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10812837	10812837 - GAU: 3774
	Filing Date		2004-03-30	
	First Named Inventor	David G. Malone		
	Art Unit	3738		
	Examiner Name	A. J. Stewart		
	Attorney Docket Number	101896-1089 (DEP6244USNP)		

	7	04008999	WO	A1	2004-01-29	Malone David G		<input type="checkbox"/>
--	---	----------	----	----	------------	----------------	--	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Kandziora, Biomechanical Testing of the Lumbar Facet Interference Screw, Spine, 2005; Vol. 32, No. 2, pp E34-E39	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Alvin Stewart/	Date Considered	07/06/2010
--------------------	-----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.